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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use as many sheets as necessary.

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of

Complete if Known	
Application Number	10/776,146
Filing Date	2/10/2004
First Named Inventor	Gunn III, et al
Art Unit	Not Yet Assigned 2874
Examiner Name	SONG
Attorney Docket Number	111X-P010

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

Sarah's Book

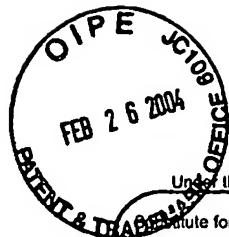
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Sheet	2	of	2	Attorney Docket Number	LUX-P020

NON PATENT LITERATURE DOCUMENTS

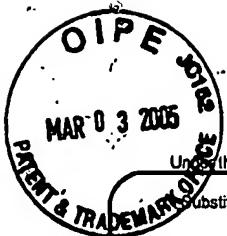
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SNR	1	"LOW LOSS NANOPHOTONIC WAVEGUIDES AND RING RESONATORS IN SILICON-ON-INSULATOR"; BEATS, ET AL	
SNR	2	"LARGE-SCALE PRODUCTION TECHNIQUES FOR PHOTONIC NANOSTRUCTURES"; BOGAERTS, ET AL	
SNR	3	"CMOS-COMPATIBLE PLANAR SILICON WAVEGUIDE-GRATING-COUPLER PHOTODETECTORS FABRICATED ON SILICON-ON-INSULATOR (SOI) SUBSTRATES"; S.M. CSUTAK, S. DAKSHINA-MURTHY &	
		JOE C. CAMPBELL; IEEE JOURNAL OF QUANTUM ELECTRONICS, VOL.38 NO. 5 MAY 2002	
SNR	4.	"BURIED-OXIDE SILICON-ON-INSULATOR STRUCTURES II: WAVEGUIDE GRATING COUPLERS"; EMMONS, ET AL; IEEE JOURNAL OF QUANTUM ELECTRONICS, VOL.28 NO. 1 JANUARY 1992.	
SNR	5	"INTEGRATED OPTICS FOR OPTICAL HEAD APPLICATIONS"; OCHIAI ET AL; ELECTRONICS LETTERS 18TH AUGUST 1994 VOL. 30 NO. 17, PAGE 1403-1404	
SNR	6	"EFFECTS OF GRATING HEIGHTS ON HIGHLY EFFICIENT UNIBOND SOI WAVEGUIDE GRATING COUPLERS"; ANG, ET AL; IEEE PHOTONICS TECHNOLOGY LETTERS VOL.12 NO. 1 JAN 2000	
SNR	7	"MODE MATCHING TECHNIQUE FOR HIGHLY EFFICIENT COUPLING BETWEEN DIELECTRIC WAVEGUIDES AND PLANAR PHOTONIC CRYSTAL CIRCUITS"; SANCHIS, ET AL	
SNR	8	"BURIED-OXIDE SILICON-ON-INSULATOR STRUCTURES II: WAVEGUIDE GRATING COUPLERS"; EMMONS, ET AL; IEEE JOURNAL OF QUANTUM ELECTRONICS, VOL 28 NO.1 JANUARY 1992	
SNR	9	"NON UNIFORM GRATING COUPLERS FOR COUPLING OF GAUSSIAN BEAMS TO COMPACT WAVEGUIDES"; WEST, ET AL; PUBLISHED IN THE INTEGRATED PHOTONICS RESEARCH TECHNICAL DIGEST 1994	

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Sheet 1 of 2

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		Application Number	10/776,146
		Filing Date	2/10/2004
		First Named Inventor	Gunn et al.
		Art Unit	2874
		Examiner Name	(not yet assigned) - SONG
Sheet	1	of	2
		Attorney Docket Number	

U. S. PATENT DOCUMENTS

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**Examiner
Signature**

Sarah Ward

Date
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14 Nov 05

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		LUX-P020	

NON-PATENT LITERATURE DOCUMENTS

Examiner Signature	<i>Leah N. Lee</i>	Date Considered	<i>14 Nov 05</i>
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